



# Bisimulations for Delimited-Control Operators

Dariusz Biernacki    Sergueï Lenglet



## Abortive control operators

E.g., callcc in Scheme or SML/NJ

- ▶ Capture the **entire** rest of the computation (continuation)

### Example

```
11+callcc(fn k => 100+k 0)
```

Captured continuation: 11 + [ ]

## Abortive control operators

E.g., callcc in Scheme or SML/NJ

- ▶ Capture the **entire** rest of the computation (continuation)
- ▶ When resumed, the captured continuation **replaces** the current continuation.

### Example

```
11+callcc(fn k => 100+k 0)
```

Captured continuation: 11 + [ ]

## Abortive control operators

E.g., callcc in Scheme or SML/NJ

- ▶ Capture the **entire** rest of the computation (continuation)
- ▶ When resumed, the captured continuation **replaces** the current continuation.

### Example

`11+callcc(fn k => 100+k 0) ==>11`

Captured continuation: `11 + [ ]`

## Delimited-control operators

E.g., shift and reset (Danvy and Filinski, 90)

- ▶ Capture a **prefix** of the rest of the computation (delimited continuation)

### Example

```
1+reset(fn () => 10 + shift (fn k => 100+k (k 0)))
```

Captured (composable) continuation:  $10 + [ ]$

## Delimited-control operators

E.g., shift and reset (Danvy and Filinski, 90)

- ▶ Capture a **prefix** of the rest of the computation (delimited continuation)
- ▶ When resumed, the captured continuation **is composed** with the current continuation.

### Example

```
1+reset(fn () => 10 + shift (fn k => 100+k (k 0)))
```

Captured (composable) continuation:  $10 + [ ]$

## Delimited-control operators

E.g., shift and reset (Danvy and Filinski, 90)

- ▶ Capture a **prefix** of the rest of the computation (delimited continuation)
- ▶ When resumed, the captured continuation **is composed** with the current continuation.

### Example

```
1+reset(fn () => 10 + shift (fn k => 100+k (k 0)))  
==>121
```

Captured (composable) continuation:  $10 + [ ]$

# Delimited-control operators: applications

## Motivation

- ▶ Success-failure continuation model of backtracking
- ▶ More expressive than abortive control operators

## Applications

- ▶ Non-deterministic programming
- ▶ Computational monads
- ▶ Partial evaluation
- ▶ Normalization by evaluation
- ▶ Linguistics
- ▶ Concurrency
- ▶ ...

# Behavioral theory for $\lambda$ -calculi

Contextual equivalence:

## Definition

Terms  $t_0 \mathcal{B} t_1$  contextually equivalent iff for all  $C$ ,  $C[t_0]$  and  $C[t_1]$  have the same **observable** actions

# Behavioral theory for $\lambda$ -calculi

Contextual equivalence:

## Definition

Terms  $t_0 \mathcal{B} t_1$  contextually equivalent iff for all  $C$ ,  $C[t_0]$  and  $C[t_1]$  have the same **observable** actions

(Weak) bisimilarity:

## Definition

If  $t_0 \xrightarrow{\approx} t_1$  then  $t_0 \xrightarrow{\approx} t_1$

$$\begin{array}{ccc} t_0 & \xrightarrow{\approx} & t_1 \\ \Downarrow \alpha & & \Downarrow \alpha \\ t'_0 & & t'_1 \end{array}$$
$$t'_0 - \approx - t'_1$$

# The call-by-value $\lambda$ -calculus with shift and reset

## Syntax

Terms:  $t ::= x \mid \lambda x. t \mid t\ t \mid Sk.t \mid \langle t \rangle$

Values:  $v ::= \lambda x. t$

CBV contexts:  $E ::= \square \mid v\ E \mid E\ t$

Call-by-value reduction:

$$\frac{}{(\lambda x. t) v \rightarrow_v t\{v/x\}}$$

$$\frac{t_1 \rightarrow_v t'_1}{t_1\ t_2 \rightarrow_v t'_1\ t_2}$$

$$\frac{t \rightarrow_v t'}{v\ t \rightarrow_v v\ t'}$$

$$\frac{x \text{ fresh}}{\langle E[Sk.t] \rangle \rightarrow_v \langle t\{\lambda x. \langle E[x] \rangle / k\} \rangle}$$

$$\frac{}{\langle v \rangle \rightarrow_v v}$$

$$\frac{t \rightarrow_v t'}{\langle t \rangle \rightarrow_v \langle t' \rangle}$$

Captured (composable) context

# Contextual equivalence

Evaluation of a closed term

- ▶  $t \uparrow\downarrow_v$
- ▶  $t \downarrow\downarrow_v v$
- ▶  $t \downarrow\downarrow_v E[Sk.t']$

Definition (Contextual equivalence)

Let  $t_0, t_1$  be closed terms. We have  $t_0 \mathcal{B} t_1$  iff for all  $C$ ,

- ▶  $C[t_0] \downarrow\downarrow_v v_0$  implies  $C[t_1] \downarrow\downarrow_v v_1$ ;
- ▶  $C[t_0] \downarrow\downarrow_v E_0[Sk.t'_0]$  implies  $C[t_1] \downarrow\downarrow_v E_1[Sk.t'_1]$ .

and conversely for  $C[t_1]$

## Bisimilarity: actions $\alpha$

$t \xrightarrow{\tau} t'$ : internal action (= reduction)

$$\frac{}{(\lambda x.t) v \xrightarrow{\tau} t\{v/x\}}$$

$$\frac{}{\langle v \rangle \xrightarrow{\tau} v}$$

$$\frac{t \xrightarrow{\square} t'}{\langle t \rangle \xrightarrow{\tau} t'}$$

$$\frac{t \xrightarrow{\tau} t'}{v \ t \xrightarrow{\tau} v \ t'}$$

$$\frac{t_0 \xrightarrow{\tau} t'_0}{t_0 \ t_1 \xrightarrow{\tau} t'_0 \ t_1}$$

$$\frac{t \xrightarrow{\tau} t'}{\langle t \rangle \xrightarrow{\tau} \langle t' \rangle}$$

## Bisimilarity: actions $\alpha$

$t \xrightarrow{\tau} t'$ : internal action (= reduction)

$$\frac{}{(\lambda x.t) v \xrightarrow{\tau} t\{v/x\}} \qquad \frac{}{\langle v \rangle \xrightarrow{\tau} v} \qquad \frac{t \xrightarrow{\square} t'}{\langle t \rangle \xrightarrow{\tau} t'}$$

$$\frac{t \xrightarrow{\tau} t'}{v \ t \xrightarrow{\tau} v \ t'} \qquad \frac{t_0 \xrightarrow{\tau} t'_0}{t_0 \ t_1 \xrightarrow{\tau} t'_0 \ t_1} \qquad \frac{t \xrightarrow{\tau} t'}{\langle t \rangle \xrightarrow{\tau} \langle t' \rangle}$$

$v \xrightarrow{v_0} t'$ : testing values (as in regular  $\lambda$ -calculus)

$$\overline{\lambda x.t \xrightarrow{v_0} t\{v_0/x\}}$$

## Bisimilarity: actions $\alpha$

$t \xrightarrow{\tau} t'$ : internal action (= reduction)

$$\frac{}{(\lambda x.t) v \xrightarrow{\tau} t\{v/x\}}$$
      
$$\frac{}{\langle v \rangle \xrightarrow{\tau} v}$$
      
$$\frac{t \xrightarrow{\square} t'}{\langle t \rangle \xrightarrow{\tau} t'}$$
  
$$\frac{t \xrightarrow{\tau} t'}{v \ t \xrightarrow{\tau} v \ t'}$$
      
$$\frac{t_0 \xrightarrow{\tau} t'_0}{t_0 \ t_1 \xrightarrow{\tau} t'_0 \ t_1}$$
      
$$\frac{t \xrightarrow{\tau} t'}{\langle t \rangle \xrightarrow{\tau} \langle t' \rangle}$$

$v \xrightarrow{v_0} t'$ : testing values (as in regular  $\lambda$ -calculus)

$$\overline{\lambda x.t \xrightarrow{v_0} t\{v_0/x\}}$$

$t \xrightarrow{E} t'$ : context capture

- ▶  $t$  is a stuck term  $E_0[Sk.t_0]$
- ▶  $t$  captures  $E$  and becomes  $t'$ :  $\langle E[t] \rangle \xrightarrow{\tau} t'$

## Context capture

$$\overline{\langle v ((\mathcal{S}k.t_0) t_1) \rangle} \xrightarrow{\tau} \dots$$

## Context capture

$$\frac{v((Sk.t_0) t_1) \xrightarrow{\square} \dots}{\langle v((Sk.t_0) t_1) \rangle \xrightarrow{\tau} \dots}$$

## Context capture

$$\frac{\overline{(Sk.t_0) \ t_1 \xrightarrow{v\ \Box} \dots}}{\overline{v\ ((Sk.t_0)\ t_1) \xrightarrow{\Box} \dots}}}{\langle v\ ((Sk.t_0)\ t_1) \rangle \xrightarrow{\tau} \dots}$$

## Context capture

$$\frac{\overline{\mathcal{S}k.t_0 \xrightarrow{v(\Box t_1)} \dots}}{(\mathcal{S}k.t_0) t_1 \xrightarrow{v\Box} \dots}$$
$$\frac{\overline{v((\mathcal{S}k.t_0) t_1) \xrightarrow{\Box} \dots}}{\langle v((\mathcal{S}k.t_0) t_1) \rangle \xrightarrow{\tau} \dots}$$

## Context capture

$$\frac{x \text{ fresh}}{\frac{\mathcal{S}k.t_0 \xrightarrow{v(\Box t_1)} \langle t_0 \{ \lambda x. \langle v(x t_1) \rangle / k \} \rangle}{\frac{(\mathcal{S}k.t_0) t_1 \xrightarrow{v \Box} \dots}{\frac{v((\mathcal{S}k.t_0) t_1) \xrightarrow{\Box} \dots}{\langle v((\mathcal{S}k.t_0) t_1) \rangle \xrightarrow{\tau} \dots}}}}$$

## Context capture

$$\frac{x \text{ fresh}}{\begin{array}{c} \mathcal{S}k.t_0 \xrightarrow{v(\square t_1)} \langle t_0\{\lambda x. \langle v(x t_1) \rangle / k \} \rangle \\ (\mathcal{S}k.t_0) t_1 \xrightarrow{v \square} \langle t_0\{\lambda x. \langle v(x t_1) \rangle / k \} \rangle \\ \hline v((\mathcal{S}k.t_0) t_1) \xrightarrow{\square} \dots \\ \hline \langle v((\mathcal{S}k.t_0) t_1) \rangle \xrightarrow{\tau} \dots \end{array}}$$

## Context capture

$$\frac{x \text{ fresh}}{\begin{array}{c} \mathcal{S}k.t_0 \xrightarrow{v(\square t_1)} \langle t_0\{\lambda x. \langle v(x t_1) \rangle / k \} \rangle \\ (\mathcal{S}k.t_0) t_1 \xrightarrow{v\square} \langle t_0\{\lambda x. \langle v(x t_1) \rangle / k \} \rangle \\ \hline v((\mathcal{S}k.t_0) t_1) \xrightarrow{\square} \langle t_0\{\lambda x. \langle v(x t_1) \rangle / k \} \rangle \\ \hline \langle v((\mathcal{S}k.t_0) t_1) \rangle \xrightarrow{\tau} \dots \end{array}}$$

## Context capture

$$\frac{x \text{ fresh}}{\begin{array}{c} \mathcal{S}k.t_0 \xrightarrow{\nu(\square t_1)} \langle t_0\{\lambda x.\langle\nu(x t_1)\rangle/k\}\rangle \\[1ex] (\mathcal{S}k.t_0) t_1 \xrightarrow{\nu\square} \langle t_0\{\lambda x.\langle\nu(x t_1)\rangle/k\}\rangle \\[1ex] \nu((\mathcal{S}k.t_0) t_1) \xrightarrow{\square} \langle t_0\{\lambda x.\langle\nu(x t_1)\rangle/k\}\rangle \\[1ex] \langle\nu((\mathcal{S}k.t_0) t_1)\rangle \xrightarrow{\tau} \langle t_0\{\lambda x.\langle\nu(x t_1)\rangle/k\}\rangle \end{array}}$$

# Applicative bisimilarity

Weak transitions

$$\begin{aligned}\Rightarrow^\tau &\triangleq (\xrightarrow{\tau})^* \\ \Rightarrow^\alpha &\triangleq \xrightarrow{\tau} \xrightarrow{\alpha} \text{ if } \alpha \neq \tau\end{aligned}$$

Definition (Applicative bisimilarity)

If  $t_0 \xrightarrow{\approx} t_1$  ( $\alpha \neq \tau$ ) then  $t_0 \xrightarrow{\approx} t_1$

$$\begin{array}{ccc} \begin{array}{c} \xrightarrow{\approx} \\ \Downarrow \alpha \\ \downarrow \\ t'_0 \end{array} & \quad & \begin{array}{c} \xrightarrow{\approx} \\ \Downarrow \alpha \\ \downarrow \\ t'_1 \end{array} \\ & \quad & \begin{array}{c} \parallel \\ \parallel \alpha \\ \Downarrow \\ t'_1 \end{array} \end{array}$$

Theorem

We have  $\mathcal{B} = \approx$

## Equivalences of terms

Because

$$\begin{aligned} Sk.\langle t \rangle &\approx Sk.t \\ &Sk.\langle t \rangle \xrightarrow{E} \langle\langle t\{\lambda x.\langle E[x] \rangle / k\} \rangle\rangle \\ &Sk.t \xrightarrow{E} \langle t\{\lambda x.\langle E[x] \rangle / k\} \rangle \\ &\text{and } \forall t', \langle\langle t' \rangle\rangle \approx \langle t' \rangle \end{aligned}$$

## Equivalences of terms

Because

$$\begin{aligned} Sk.\langle t \rangle &\approx Sk.t \\ &Sk.\langle t \rangle \xrightarrow{E} \langle\langle t\{\lambda x.\langle E[x] \rangle/k\} \rangle\rangle \\ &Sk.t \xrightarrow{E} \langle t\{\lambda x.\langle E[x] \rangle/k\} \rangle \\ &\text{and } \forall t', \langle\langle t' \rangle\rangle \approx \langle t' \rangle \end{aligned}$$

$$(\lambda x.E[x]) t \approx E[t]$$

More complex ...  
x fresh

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\}$$

$$(\lambda x. E[x]) t' \stackrel{\mathcal{R}_1}{\sim} E[t']$$

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x.E[x]) t', E[t'])\}$$

$$(\lambda x.E[x]) \textcolor{red}{Sk.t} \stackrel{\mathcal{R}_1}{\longrightarrow} E[\textcolor{red}{Sk.t}]$$

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x.E[x]) t', E[t'])\}$$

$$\begin{array}{ccc} (\lambda x.E[x]) \textcolor{red}{Sk.t} & \xrightarrow{\mathcal{R}_1} & E[\textcolor{red}{Sk.t}] \\ \downarrow \textcolor{red}{E_0} & & \\ \langle \textcolor{red}{t} \{ \lambda y. \langle \textcolor{red}{E_0}[(\lambda x.E[x]) y] \rangle / k \} \rangle & & \end{array}$$

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x.E[x]) t', E[t'])\}$$

$$\begin{array}{ccc} (\lambda x.E[x]) Sk.t & \xrightarrow{\mathcal{R}_1} & E[Sk.t] \\ \downarrow E_0 & & \downarrow E_0 \\ \langle t\{\lambda y.\langle E_0[(\lambda x.E[x]) y]\rangle/k\} \rangle & & \langle t\{\lambda y.\langle E_0[E[y]]\rangle/k\} \rangle \end{array}$$

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x.E[x]) t', E[t'])\}$$

$$\mathcal{R}_2 = \{(t''\sigma_1, t''\sigma_2)\}$$

$$\begin{array}{ccc} (\lambda x.E[x]) \textcolor{red}{Sk.t} & \xrightarrow{\mathcal{R}_1} & E[\textcolor{red}{Sk.t}] \\ \downarrow \textcolor{red}{E_0} & & \downarrow \textcolor{red}{E_0} \\ \langle \textcolor{red}{t}\{\lambda y.\langle \textcolor{red}{E_0}[(\lambda x.E[x]) y]\rangle/k\} \rangle & \xrightarrow{\mathcal{R}_2} & \langle \textcolor{red}{t}\{\lambda y.\langle \textcolor{red}{E_0}[E[y]]\rangle/k\} \rangle \end{array}$$

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x.E[x]) t', E[t'])\}$$

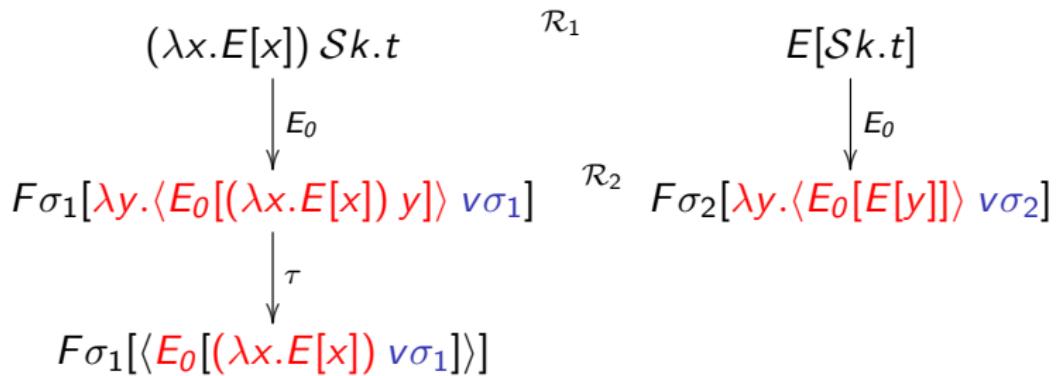
$$\mathcal{R}_2 = \{(t''\sigma_1, t''\sigma_2)\}$$

$$\begin{array}{ccc} (\lambda x.E[x]) Sk.t & \xrightarrow{\mathcal{R}_1} & E[Sk.t] \\ \downarrow E_0 & & \downarrow E_0 \\ F\sigma_1[\lambda y.\langle E_0[(\lambda x.E[x]) y] \rangle \nu\sigma_1] & \xrightarrow{\mathcal{R}_2} & F\sigma_2[\lambda y.\langle E_0[E[y]] \rangle \nu\sigma_2] \end{array}$$

## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\}$$

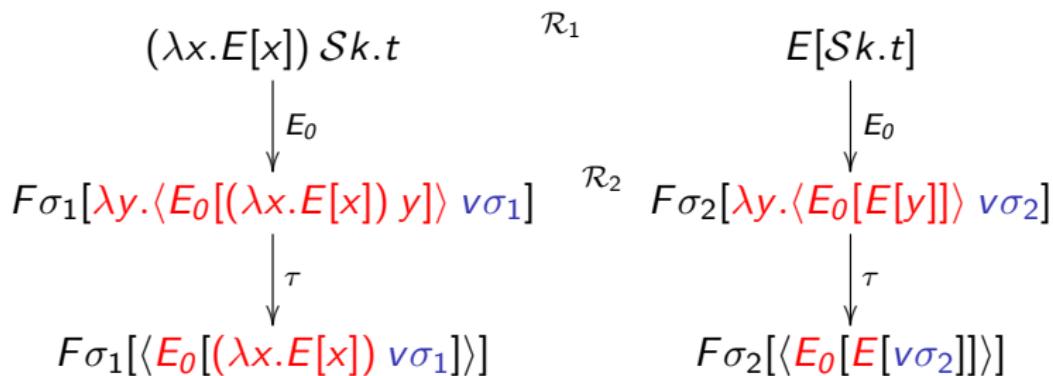
$$\mathcal{R}_2 = \{(t''\sigma_1, t''\sigma_2)\}$$



## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

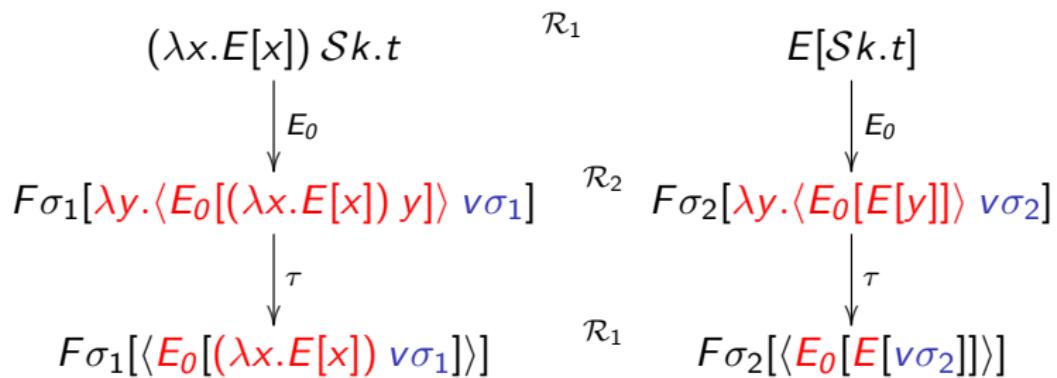
$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\}$$

$$\mathcal{R}_2 = \{(t''\sigma_1, t''\sigma_2)\}$$



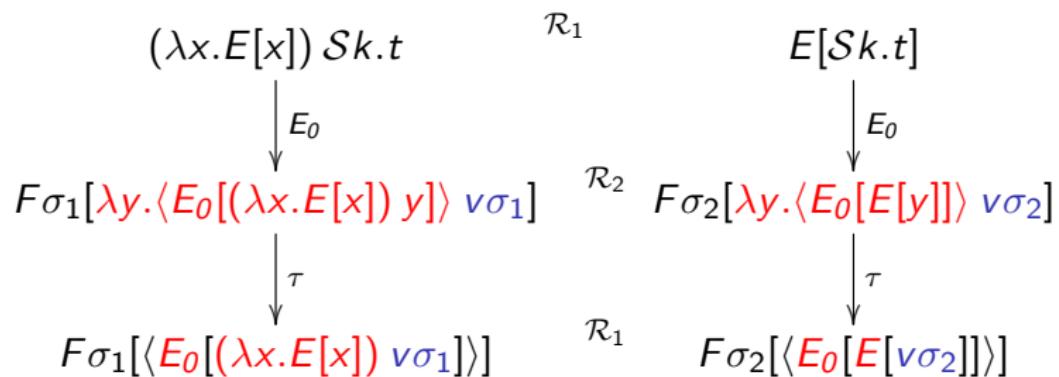
## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\begin{aligned}\mathcal{R}_1 &= \{(F\sigma_1^1 \dots \sigma_1^n[(\lambda x.E[x]) t' \sigma_1^1 \dots \sigma_1^n], F\sigma_2^1 \dots \sigma_2^n[E[t' \sigma_2^1 \dots \sigma_2^n]])\} \\ \mathcal{R}_2 &= \{(t'' \sigma_1, t'' \sigma_2)\}\end{aligned}$$



## CPS equivalence vs bisimilarity: $\beta_\Omega$ axiom

$$\begin{aligned}\mathcal{R}_1 &= \{(F\sigma_1^1 \dots \sigma_1^n[(\lambda x.E[x]) t' \sigma_1^1 \dots \sigma_1^n], F\sigma_2^1 \dots \sigma_2^n[E[t' \sigma_2^1 \dots \sigma_2^n]])\} \\ \mathcal{R}_2 &= \{(t'' \sigma_1^1 \dots \sigma_1^n, t'' \sigma_2^1 \dots \sigma_2^n)\}\end{aligned}$$



## Applicative bisimilarity: summary

### Definition (Applicative bisimilarity)

$$\text{If } t_0 \xrightarrow{\approx} t_1 \ (\alpha \neq \tau) \text{ then } t_0 \xrightarrow{\approx} t_1$$
$$\begin{array}{ccc} \Downarrow \alpha & & \Downarrow \alpha \\ t'_0 & & t'_1 \end{array}$$
$$t'_0 \xrightarrow{\approx} t'_1$$

- ▶ Sound and complete w.r.t. contextual equivalence
- ▶ Proofs can be complex: still a quantification **on values  $v$ , and on contexts  $E$**

Can we do better?

# Normal form bisimilarity (open bisimilarity)

Principle (Sangiorgi, Lassen):

- ▶ Reduce (open) terms to normal forms
- ▶ Decompose normal forms into bisimilar subterms

Example:  $\lambda$ -calculus

## Definition

If  $t_0 \approx_{\text{NF}} t_1$  then:

- ▶ If  $t_0 \Downarrow_v x$ , then  $t_1 \Downarrow_v x$
- ▶ If  $t_0 \Downarrow_v \lambda x. t'_0$ , then  $t_1 \Downarrow_v \lambda x. t'_1$  and  $t'_0 \approx_{\text{NF}} t'_1$
- ▶ If  $t_0 \Downarrow_v E_0[x v_0]$ , then  $t_1 \Downarrow_v E_1[x v_1]$ ,  $v_0 \approx_{\text{NF}} v_1$  and  $E_0[y] \approx_{\text{NF}} E_1[y]$  for a fresh  $y$

# Evaluation of open terms

Terms:  $t ::= x \mid \lambda x. t \mid t\ t \mid Sk.t \mid \langle t \rangle$

Values:  $v ::= \lambda x. t \mid \textcolor{red}{x}$

CBV contexts:  $E ::= \square \mid v\ E \mid E\ t$

Evaluation contexts:  $F ::= \square \mid v\ F \mid F\ t \mid \langle F \rangle$

## Evaluation of an open term

- ▶  $t \uparrow_v$
- ▶  $t \downarrow_v v$
- ▶  $t \downarrow_v E[Sk.t']$
- ▶  $t \downarrow_v F[x\ v]$

# Normal form bisimilarity for delimited control

## Definition (Normal form bisimilarity)

If  $t_0 \approx_{\text{NF}} t_1$  then:

- ▶ If  $t_0 \Downarrow_v x$ , then  $t_1 \Downarrow_v x$
- ▶ If  $t_0 \Downarrow_v \lambda x. t'_0$ , then  $t_1 \Downarrow_v \lambda x. t'_1$  and  $t'_0 \approx_{\text{NF}} t'_1$
- ▶ If  $t_0 \Downarrow_v F_0[x v_0]$ , then  $t_1 \Downarrow_v F_1[x v_1]$ ,  $v_0 \approx_{\text{NF}} v_1$  and  
 $F_0 \approx_{\text{NF}} F_1$ .

# Normal form bisimilarity for delimited control

## Definition (Normal form bisimilarity)

If  $t_0 \approx_{\text{NF}} t_1$  then:

- ▶ If  $t_0 \Downarrow_v x$ , then  $t_1 \Downarrow_v x$
- ▶ If  $t_0 \Downarrow_v \lambda x. t'_0$ , then  $t_1 \Downarrow_v \lambda x. t'_1$  and  $t'_0 \approx_{\text{NF}} t'_1$
- ▶ If  $t_0 \Downarrow_v F_0[x v_0]$ , then  $t_1 \Downarrow_v F_1[x v_1]$ ,  $v_0 \approx_{\text{NF}} v_1$  and  
 $F_0 \approx_{\text{NF}} F_1$ .
- ▶ If  $t_0 \Downarrow_v E_0[\mathcal{S}k. t'_0]$  then  $t_1 \Downarrow_v E_1[\mathcal{S}k. t'_1]$ ,  $E_0[x] \approx_{\text{NF}} E_1[x]$  for a  
fresh  $x$  and  $\langle t'_0 \rangle \approx_{\text{NF}} \langle t'_1 \rangle$

# Normal form bisimilarity for delimited control

## Definition (Normal form bisimilarity)

If  $t_0 \approx_{\text{NF}} t_1$  then:

- ▶ If  $t_0 \Downarrow_v x$ , then  $t_1 \Downarrow_v x$
- ▶ If  $t_0 \Downarrow_v \lambda x. t'_0$ , then  $t_1 \Downarrow_v \lambda x. t'_1$  and  $t'_0 \approx_{\text{NF}} t'_1$
- ▶ If  $t_0 \Downarrow_v F_0[x v_0]$ , then  $t_1 \Downarrow_v F_1[x v_1]$ ,  $v_0 \approx_{\text{NF}} v_1$  and  
 $F_0 \approx_{\text{NF}} F_1$ .
- ▶ If  $t_0 \Downarrow_v E_0[Sk.t'_0]$  then  $t_1 \Downarrow_v E_1[Sk.t'_1]$ ,  $E_0[x] \approx_{\text{NF}} E_1[x]$  for a  
fresh  $x$  and  $\langle t'_0 \rangle \approx_{\text{NF}} \langle t'_1 \rangle$

## Theorem

We have  $\approx_{\text{NF}} \subsetneq \mathcal{B}$ .

## Example: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\}$$

- ▶  $(\lambda x. E[x]) Sk.t \mathcal{R}_1 E[Sk.t]$

## Example: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\}$$

- ▶  $(\lambda x. E[x]) Sk.t \mathcal{R}_1 E[Sk.t]$

For  $\mathcal{R}_1$  to be a normal form bisimulation, we need

- ▶  $(\lambda x. E[x]) y \mathcal{R}_1 E[y]$  for a fresh  $y$
- ▶  $\langle t \rangle \mathcal{R}_1 \langle t \rangle$

## Example: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\}$$

- ▶  $(\lambda x. E[x]) Sk.t \mathcal{R}_1 E[Sk.t]$

For  $\mathcal{R}_1$  to be a normal form bisimulation, we need

- ▶  $(\lambda x. E[x]) y \mathcal{R}_1 E[y]$  for a fresh  $y$  **True**
- ▶  $\langle t \rangle \mathcal{R}_1 \langle t \rangle$

## Example: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\} \cup \{(t, t)\}$$

- ▶  $(\lambda x. E[x]) Sk.t \mathcal{R}_1 E[Sk.t]$

For  $\mathcal{R}_1$  to be a normal form bisimulation, we need

- ▶  $(\lambda x. E[x]) y \mathcal{R}_1 E[y]$  for a fresh  $y$  **True**
- ▶  $\langle t \rangle \mathcal{R}_1 \langle t \rangle$  **Easy change**

## Example: $\beta_\Omega$ axiom

$$\mathcal{R}_1 = \{((\lambda x. E[x]) t', E[t'])\} \cup \{(t, t)\}$$

- ▶  $(\lambda x. E[x]) Sk.t \mathcal{R}_1 E[Sk.t]$

For  $\mathcal{R}_1$  to be a normal form bisimulation, we need

- ▶  $(\lambda x. E[x]) y \mathcal{R}_1 E[y]$  for a fresh  $y$  **True**
- ▶  $\langle t \rangle \mathcal{R}_1 \langle t \rangle$  **Easy change**

- ▶ That's it

# Conclusions so far

- ▶ Applicative bisimilarity
  - ▶ Equivalence proofs can be quite involved
  - ▶ Complete
  - ▶ Up-to techniques: open problem
- ▶ Normal form bisimilarity
  - ▶ Equivalence proofs are quite simple
  - ▶ Not complete
  - ▶ Allow for up-to techniques

# Environmental bisimulation

## Definition

A relation  $\mathcal{X}$  is an environmental bisimulation if

1.  $t_0 \mathcal{X}_{\mathcal{E}} t_1$  implies:
  - 1.1 if  $t_0 \rightarrow_v t'_0$ , then  $t_1 \rightarrow_v^* t'_1$  and  $t'_0 \mathcal{X}_{\mathcal{E}} t'_1$ ;
  - 1.2 if  $t_0 = v_0$ , then  $t_1 \rightarrow_v^* v_1$  and  $\mathcal{E} \cup \{(v_0, v_1)\} \in \mathcal{X}$ ;
  - 1.4 the converse of the above conditions on  $t_1$ ;
2.  $\mathcal{E} \in \mathcal{X}$  implies:
  - 2.1 if  $\lambda x. t_0 \mathcal{E} \lambda x. t_1$  and  $v_0 \widehat{\mathcal{E}} v_1$ , then  $t_0\{v_0/x\} \mathcal{X}_{\mathcal{E}} t_1\{v_1/x\}$ ;

# Environmental bisimulation

## Definition

A relation  $\mathcal{X}$  is an environmental bisimulation if

1.  $t_0 \mathcal{X}_{\mathcal{E}} t_1$  implies:
  - 1.1 if  $t_0 \rightarrow_v t'_0$ , then  $t_1 \rightarrow_v^* t'_1$  and  $t'_0 \mathcal{X}_{\mathcal{E}} t'_1$ ;
  - 1.2 if  $t_0 = v_0$ , then  $t_1 \rightarrow_v^* v_1$  and  $\mathcal{E} \cup \{(v_0, v_1)\} \in \mathcal{X}$ ;
  - 1.3 if  $t_0$  is stuck, then  $t_1 \rightarrow_v^* t'_1$  with  $t'_1$  stuck, and  $\mathcal{E} \cup \{(t_0, t'_1)\} \in \mathcal{X}$ ;
  - 1.4 the converse of the above conditions on  $t_1$ ;
2.  $\mathcal{E} \in \mathcal{X}$  implies:
  - 2.1 if  $\lambda x. t_0 \mathcal{E} \lambda x. t_1$  and  $v_0 \widehat{\mathcal{E}} v_1$ , then  $t_0\{v_0/x\} \mathcal{X}_{\mathcal{E}} t_1\{v_1/x\}$ ;
  - 2.2 if  $E_0[Sk.t_0] \mathcal{E} E_1[Sk.t_1]$  and  $E_0' \widetilde{\mathcal{E}} E_1'$ , then  $\langle t_0\{\lambda x. \langle E_0'[E_0[x]] \rangle/k\} \rangle \mathcal{X}_{\mathcal{E}} \langle t_1\{\lambda x. \langle E_1'[E_1[x]] \rangle/k\} \rangle$  for a fresh  $x$ .

# Up-to context

## Definition

A relation  $\mathcal{X}$  is an environmental bisimulation up to context if

1.  $t_0 \mathcal{X}_{\mathcal{E}} t_1$  implies:

- 1.1 if  $t_0 \rightarrow_v t'_0$ , then  $t_1 \rightarrow_v^* t'_1$  and  $t'_0 \overline{\mathcal{X}_{\mathcal{E}}} t'_1$ ;
- 1.2 ...

2.  $\mathcal{E} \in \mathcal{X}$  implies:

2.1 if  $\lambda x. t_0 \mathcal{E} \lambda x. t_1$  and  $v_0 \widehat{\mathcal{E}} v_1$ , then  $t_0\{v_0/x\} \overline{\mathcal{X}_{\mathcal{E}}} t_1\{v_1/x\}$ ;

2.2 if  $E_0[Sk.t_0] \mathcal{E} E_1[Sk.t_1]$  and  $E_0' \widetilde{\mathcal{E}} E_1'$ , then

$\langle t_0\{\lambda x. \langle E_0'[E_0[x]]\rangle/k\} \overline{\mathcal{X}_{\mathcal{E}}} \langle t_1\{\lambda x. \langle E_1'[E_1[x]]\rangle/k\} \rangle$  for a fresh  $x$ .

where  $t_0 \overline{\mathcal{X}_{\mathcal{E}}} t_1$  if

- ▶ either  $t_0 = F_0[t'_0]$ ,  $t_1 = F_1[t'_1]$ ,  $t'_0 \mathcal{X}_{\mathcal{E}} t'_1$ , and  $F_0 \widetilde{\mathcal{E}} F_1$ ;
- ▶ or  $t_0 \widehat{\mathcal{E}} t_1$ .

## Is it helpful?

- ▶ building  $\mathcal{X}$  for  $E[t] \simeq (\lambda x.E[x])\ t$

## Is it helpful?

- ▶ building  $\mathcal{X}$  for  $E[t] \simeq (\lambda x.E[x]) t$
- ▶ problematic case  $t = E_0[\mathcal{S}k.t_0]$
- ▶ we add  $(\lambda x.E[x]) E_0[\mathcal{S}k.t_0]$  and  $E[E_0[\mathcal{S}k.t_0]]$  to an environment  $\mathcal{E}$  of  $\mathcal{X}$

## Is it helpful?

- ▶ building  $\mathcal{X}$  for  $E[t] \simeq (\lambda x.E[x]) t$
- ▶ problematic case  $t = E_0[\mathcal{S}k.t_0]$
- ▶ we add  $(\lambda x.E[x]) E_0[\mathcal{S}k.t_0]$  and  $E[E_0[\mathcal{S}k.t_0]]$  to an environment  $\mathcal{E}$  of  $\mathcal{X}$
- ▶ for all  $E_1 \tilde{\in} E_2$ ,  $\langle t' \{ \lambda y. \langle E_1[(\lambda x.E[x]) E_0[y]] \rangle / k \} \rangle \mathcal{X}_{\mathcal{E}}$   
 $\langle t' \{ \lambda y. \langle E_2[E[E_0[y]]] \rangle / k \} \rangle$

## Is it helpful?

- ▶ building  $\mathcal{X}$  for  $E[t] \simeq (\lambda x. E[x]) t$
- ▶ problematic case  $t = E_0[Sk.t_0]$
- ▶ we add  $(\lambda x. E[x]) E_0[Sk.t_0]$  and  $E[E_0[Sk.t_0]]$  to an environment  $\mathcal{E}$  of  $\mathcal{X}$
- ▶ for all  $E_1 \tilde{\in} E_2$ ,  $\langle t' \{ \lambda y. \langle E_1[(\lambda x. E[x]) E_0[y]] \rangle / k \} \rangle \mathcal{X}_{\mathcal{E}}$   
 $\langle t' \{ \lambda y. \langle E_2[E[E_0[y]]] \rangle / k \} \rangle$
- ▶ but:
  - ▶  $(\lambda x. E[x]) E_0[y]$  and  $E[E_0[y]]$  are open
  - ▶  $t'$  can be any term
- ▶ we have  $\langle t' \{ \lambda y. \langle E_1[(\lambda x. E[x]) E_0[y]] \rangle / k \} \rangle \widehat{\mathcal{X}_{\mathcal{E}}^{\circ}}$   
 $\langle t' \{ \lambda y. \langle E_2[E[E_0[y]]] \rangle / k \} \rangle$  instead of  $\overline{\mathcal{X}_{\mathcal{E}}}$

## Up-to context

Fix (?) for “any context issue”

- ▶ if  $E_0[Sk.t_0] \mathcal{E} E_1[Sk.t_1]$  and  $E_0' \xrightarrow{\widetilde{x}_{\mathcal{E}}} E_1'$ , then  
 $\langle t_0\{\lambda x.\langle E_0'[E_0[x]]\rangle/k\} \xrightarrow{\widehat{x}_{\mathcal{E}}} \langle t_1\{\lambda x.\langle E_1'[E_1[x]]\rangle/k\}\rangle$  for a fresh  $x$ .

## Up-to context

Fix (?) for “any context issue”

- ▶ if  $E_0[Sk.t_0] \mathcal{E} E_1[Sk.t_1]$  and  $E_0' \xrightarrow{\widetilde{\mathcal{X}_{\mathcal{E}}}} E_1'$ , then  
 $\langle t_0 \{ \lambda x. \langle E_0'[E_0[x]] \rangle / k \} \rangle \widehat{\mathcal{X}_{\mathcal{E}}} \langle t_1 \{ \lambda x. \langle E_1'[E_1[x]] \rangle / k \} \rangle$  for a fresh  $x$ .

Fix for open terms: ???????

- ▶  $(\lambda x. E[x]) E_0[y] \mathcal{X}_{\mathcal{E}}^{\circ} E[E_0[y]]$  implies  
 $(\lambda x. E[x]) E_0[v_0] \mathcal{X}_{\mathcal{E}} E[E_0[v_1]]$  for all  $v_0 \mathcal{E} v_1$ .
- ▶ which implies  $(\lambda x. E[x]) t_0 \mathcal{X}_{\mathcal{E}} E[t_1]$  with  $t_0 \widehat{\mathcal{E}} t_1$

## Relaxed vs original semantics

- ▶ Relations so far defined for the **relaxed** semantics
  - ▶ Not complete w.r.t. CPS
  - ▶  $Sk.k\ t$  not equivalent to  $t$  ( $k \notin \text{fv}(t)$ )
- ▶ Original semantics: terms are executed within a reset  $\langle t \rangle$  (**programs**)
- ▶ Consequence: evaluation to a stuck term impossible

Corresponding contextual equivalence:

### Definition

$t_0 \dot{\approx}_c t_1$  if for all  $C$ ,  $\langle C[t_0] \rangle \Downarrow_v$  implies  $\langle C[t_1] \rangle \Downarrow_v$ , and conversely for  $\langle C[t_1] \rangle$ .

# Environmental bisimilarity for the original semantics

## Definition

A relation  $\mathcal{X}$  is an environmental bisimulation for programs if

1. if  $t_0 \mathcal{X}_{\mathcal{E}} t_1$  and  $t_0$  and  $t_1$  are not both programs, then for all  $E_0 \tilde{\mathcal{E}} E_1$ , we have  $\langle E_0[t_0] \rangle \mathcal{X}_{\mathcal{E}} \langle E_1[t_1] \rangle$ ;
2. if  $p_0 \mathcal{X}_{\mathcal{E}} p_1$ 
  - 2.1 if  $p_0 \rightarrow_v p'_0$ , then  $p_1 \rightarrow_v^* p'_1$  and  $p'_0 \mathcal{X}_{\mathcal{E}} p'_1$ ;
  - 2.2 if  $p_0 \rightarrow_v v_0$ , then  $p_1 \rightarrow_v^* v_1$ , and  $\{(v_0, v_1)\} \cup \mathcal{E} \in \mathcal{X}$ ;
  - 2.3 the converse of the above conditions on  $p_1$ ;
3. for all  $\mathcal{E} \in \mathcal{X}$ , if  $\lambda x. t_0 \mathcal{E} \lambda x. t_1$  and  $v_0 \widehat{\mathcal{E}} v_1$ , then  $t_0\{v_0/x\} \mathcal{X}_{\mathcal{E}} t_1\{v_1/x\}$ .

# Environmental bisimilarity for the original semantics

## Definition

A relation  $\mathcal{X}$  is an environmental bisimulation for programs if

1. if  $t_0 \mathcal{X}_{\mathcal{E}} t_1$  and  $t_0$  and  $t_1$  are not both programs, then **for all**  $E_0 \tilde{\mathcal{E}} E_1$ , we have  $\langle E_0[t_0] \rangle \mathcal{X}_{\mathcal{E}} \langle E_1[t_1] \rangle$ ;
2. if  $p_0 \mathcal{X}_{\mathcal{E}} p_1$ 
  - 2.1 if  $p_0 \rightarrow_v p'_0$ , then  $p_1 \rightarrow_v^* p'_1$  and  $p'_0 \mathcal{X}_{\mathcal{E}} p'_1$ ;
  - 2.2 if  $p_0 \rightarrow_v v_0$ , then  $p_1 \rightarrow_v^* v_1$ , and  $\{(v_0, v_1)\} \cup \mathcal{E} \in \mathcal{X}$ ;
  - 2.3 the converse of the above conditions on  $p_1$ ;
3. for all  $\mathcal{E} \in \mathcal{X}$ , if  $\lambda x. t_0 \mathcal{E} \lambda x. t_1$  and  $v_0 \widehat{\mathcal{E}} v_1$ , then  $t_0\{v_0/x\} \mathcal{X}_{\mathcal{E}} t_1\{v_1/x\}$ .

## Stuff

- ▶ Soundness proof requires some tweaking w.r.t.  $\lambda$ -calculus
- ▶ Howe's method seems to fail with corresponding applicative bisimilarity
- ▶ Complete w.r.t. CPS
- ▶ Differences between the two semantics:

## Lemma

- ▶ We have  $\Omega \doteq \mathcal{S}k.\Omega$ .
- ▶ If  $k \notin \text{fv}(t)$ , then  $t \doteq \mathcal{S}k.k\ t$ .
- ▶ If  $k \notin \text{fv}(t_1)$  and  $x \notin \text{fv}(E)$ , then we have  $(\lambda x.E[\mathcal{S}k.t_0])\ t_1 \doteq E[\mathcal{S}k.((\lambda x.t_0)\ t_1)]$ .

# Conclusion

Relaxed semantics:

- ▶ Applicative
- ▶ Normal form
- ▶ Environmental

Original semantics:

- ▶ environmental

Future work: abortive control ( $\lambda\mu$ )

- ▶ conjecture: environmental